FORM-PTO-1390 U.S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER (Rev. 9-2001) TRANSMITTAL LETTER TO THE UNITED STATES 033434-003 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/NO00/00260 / 9 August 2000 / 10 August 1999 TITLE OF INVENTION METHOD FOR STRENGTHENING A GEAR WHEEL, AND A GEAR WHEEL $\,\,\,\,\,\,\,\,\,\,\,\,\,\,\,\,\,\,\,\,$ APPLICANT(S) FOR DO/EQ/US Bjørn EILERTSEN Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). \boxtimes has been communicated by the International Bureau. 6: is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) .F is attached hereto. IJ has been previously submitted under 35 U.S.C. 154(d)(4). 7. \bowtie Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) \boxtimes are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. L have not been made; however, the time limit for making such amendments has NOT expired. <u>_</u> have not been made and will not be made. \boxtimes An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). \boxtimes An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). \boxtimes 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

- 11. 🛛 An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. 🏻 A FIRST preliminary amendment.
- 14. A SECOND or SUBSEQUENT preliminary amendment.
- 15. 🔲 A substitute specification.
- 16. A change of power of attorney and/or address letter.
- 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 1.825.
- 18. A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. A Other items or information:

International Search Report, International Preliminary Examination Report, Written Opinion, Reply to Written Opinion, Form PCT/IB/304, Form PCT/IPEA/402, Change of Address of Applicant



U.S. APPLICATION NO (If kn	nown, see 37 C F.R 1 5)	INTERNATIONAL APPLIC PCT/NO00/002				RNEY'S DOCKET NUMBER 434-003
21. A The following	g fees are submitted:			CALCU	LATIONS	PTO USE ONLY
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Neither internation nor international st and International S	nal preliminary examination fe earch fee (37 CFR 1.445(a)(2 Search Report not prepared by	e (37 CFR 1.482) }} paid to USPTO / the EPO or JPO	\$1,040.00 (960)			
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Surcharge of \$130.00 (154) for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$		
Claims	Number Filed	Number Extra	Rate			
Total Claims	5 -20 =	0	X\$18.00 (966)	\$	0.00	
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NOTE: Where an a must be filed and g	appropriate time limit under 3' granted to restore the applicat	7 CFR 1.494 or 1.495 has a tion to pending status.	not been met, a petition	to revive	(37 CFR 1.1	137(a) or (b))
SEND ALL CORRESPON	DENCE TO:		Watt. 1	1		
Ronald L. (Burns, Do. P.O. Box 1	Ronald L. Grudziecki BURNS, DOANE, SWECKER & MATHIS, L.L.P. SIGNATURE					$ \longrightarrow $
Alexandria (703) 836-	. Virginia 22313-1404	Sc NA	ott W. Cummings ME			//
		41 REC	.567 ISTRATION NUMBER	F	ebruary 1	1, 2002

JC13 Rec'd FCT/FTC 1 1 FEB 2002

Patent Attorney's Docket No. 033434-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)	BOX PCT/US
Bjørn EILERTSEN)	Group Art Unit: Unassigned
Application No.: (Unassigned International Application PCT/NO00/00260)	Examiner: Unassigned
I.A. Filing Date: August 9, 2000)	
For: METHOD FOR STRENGTHENING A GEAR WHEEL, AND A GEAR)	
WHEEL)	

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Prior to examination of the above-identified application on the merits, please amend the application as follows.

IN THE ABSTRACT:

Please delete the existing Abstract and substitute the Abstract attached hereto as a separate sheet.

IN THE CLAIMS:

Please cancel claim 1 without prejudice or disclaimer.

Please replace claims 2-5 with the corresponding amended claims.

- 2. (Amended) A method according to claim 1, wherein the strengthening rings are shrink-fitted around the gear wheel in such manner that the strengthening rings will be firmly shrunk onto the gear wheel with a material-technical tensile/compressive strength within 80% of the 0.2% elastic elongation range of the material.
- 3. (Amended) A method according to claim 2, wherein during the sizing process the toothed rim of the driving gear is envisaged stretched out to a correspondingly larger circle, shrink fits being selected for this circle in accordance with the ISO tables of limits and fits, and that similar considerations are made for each strengthening ring.
- 4. (Amended) A gear wheel having surrounding strengthening rings connected to the gear wheel teeth, wherein each tooth is fixed like a theoretical beam between two extreme points in that two strengthening rings, shaped on their insides in conformity with the gear wheel teeth, are fitted around the gear wheel.
- 5. (Amended) A gear wheel according to claim 4, wherein the strengthening rings are shrink-fitted in such manner that the strengthening rings will be firmly shrunk onto the gear wheel with a material-technical tensile/compressive strength within 80% of the 0.2% elastic elongation range of the material.

6. (New) A method for strengthening a gear wheel, wherein strengthening rings are placed around the gear wheel and connected to the gear wheel teeth, and wherein each tooth is fixed like a theoretical beam between two extreme points and two strengthening wheels each shaped on its inside in conformity with the gear wheel teeth, are shrink-fitted around the gear wheel.

REMARKS

Entry of the foregoing prior to an examination on the merits is respectfully requested.

The above amendments have been made to place the application in better form for examination.

An early and favorable action on the merits is earnestly solicited.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:

Scott W. Cummings Registration No. 41,567

P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620

Date: February 11, 2002

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Application No. <u>PCT/NO00/00260</u> Attorney's Docket No. <u>033434-003</u> Page 1

Attachment to Preliminary Amendment dated February 11, 2002

Marked-up Claims 2-5

- 2. (Amended) A method according to claim 1, [characterised in that] wherein the strengthening rings [(3, 4)] are [secured] shrink-fitted around the gear wheel [(1)] in such manner that the strengthening rings [(3, 4)] will be firmly shrunk onto the gear wheel [(1)] with a material-technical tensile/compressive strength within 80% of the 0.2% elastic elongation range of the material [(steel)].
- 3. (Amended) A method according to claim 2, [characterized in that] wherein during the sizing process the toothed rim of the driving gear [(1)] is envisaged stretched out to a correspondingly larger circle, shrink fits being selected for this circle in accordance with the ISO tables of limits and fits, and that similar considerations are made for each strengthening ring [(3, 4)].
- 4. (Amended) A gear wheel having surrounding strengthening rings connected to the gear wheel teeth, wherein [(1), characterised in that] each tooth [(2)] is fixed like a theoretical beam between two extreme points in that two strengthening rings [(3, 4)], shaped on their insides in conformity with the gear wheel teeth [(2)], are fitted around the gear wheel.
- 5. (Amended) A gear wheel according to claim 4, [characterised in that] wherein the strengthening rings [(3, 4)] are shrink-fitted in such manner that the

Attachment to Preliminary Amendment dated February 11, 2002

Marked-up Claims 2-5

strengthening rings [(3, 4)] will be firmly shrunk onto the gear wheel [(1)] with a material-technical tensile/compressive strength within 80% of the 0.2% elastic elongation range of the material [(steel)].

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METHOD FOR STRENGTHENING A GEAR WHEEL, AND A GEAR WHEEL

The invention relates to a method for strengthening a gear wheel.

The invention also relates to a gear wheel thus strengthened.

Gear wheels in cranes and lifting devices are highly stressed components. Experience has shown that, for example, driving gear wheels in jack-up systems for jack-up offshore platforms, driving gear wheels interacting with vertical toothed racks, have a surprisingly short useful life. Their useful life is notably shorter than that of the interacting toothed racks, which is due to the fact that the gear wheel teeth are quite naturally exposed to a greater number of alternating loads than the teeth of the rack.

Studies have shown that the teeth of driving gear wheels in large structures are exposed to motions that ultimately cause fracture in the root of the tooth.

It is an object of the invention to provide a method and an apparatus for strengthening gear wheels, particularly, but not exclusively, large driving gear wheels that are used in cranes and lifting devices.

Based on the acknowledgement of the fact that the teeth are subject to breakage as a consequence of the alternating motions in the tooth itself, most notably in the roots of the teeth, it is proposed according to the invention to fix each individual tooth in the gear wheel in the direction of circumference in order thereby to counteract the said tooth motions during operations, i.e., that each individual tooth will be like a theoretical beam fixed at both ends.

According to the invention, a method is therefore proposed for strengthening a gear wheel, characterised in that each tooth is fixed like a theoretical beam between two extreme points, in that two strengthening rings, each shaped on its inside in conformity with the gear wheel teeth, are placed around the gear wheel.

It is especially advantageous if the rings are secured around the gear wheel in such manner that the rings will be firmly shrunk onto the gear wheel with a material-technical tensile/compressive strength within 80% of the 0.2% elastic elongation range of the material (steel).

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According to the invention, a gear wheel is also proposed that is characterised in that each tooth is fixed like a theoretical beam between two extreme points, in that around each gear wheel there are fixed two strengthening rings, each shaped on its inside in conformity with the gear wheel teeth.

It is especially advantageous if the strengthening rings are shrunk on in such manner that the rings will remain firmly shrunk onto the gear wheel with a material-technical tensile/compressive strength within 80% of the 0.2% elastic elongation range of the material (steel).

Each individual strengthening ring is designed in principle like an internal ring gear having teeth intended for engagement in the tooth pockets of the gear wheel, with clearance towards the base of the teeth of the gear wheel or clearance towards the base of the teeth of both gear wheel and ring.

The invention can be carried out in a particularly advantageous way by envisaging the toothed rim of the driving gear stretched out to a correspondingly larger circle, shrink fits being chosen for this circle in accordance with the ISO tables of limits and fits, and by making similar considerations for the ring.

The invention will now be described in more detail with reference to the drawing, wherein:

Fig. 1 shows a gear wheel viewed looking towards the teeth;

Fig. 2 is a side view of a gear wheel;

Fig. 3 is a section taken from Fig. 1;

30 Fig. 4 is a section taken from Fig. 2;

Fig. 5 is a section of a gear wheel and ring in the area where they are secured together; and

Fig. 6 is another section of a gear wheel and ring in an area where they are secured together.

 The gear wheel 1 shown in Figs. 1 and 2 has a plurality of teeth 2 around its circumference. At each end side of the gear wheel 1 there is shrink-fitted a strengthening ring 3 and 4 respectively. Each ring 3, 4 is made in the form of an internal gear wheel with teeth 5. The teeth are shaped to fit with the teeth 2 on the gear wheel 1, see in particular Fig. 4.

As can be seen from Fig. 1 and from the section in Fig. 3, each tooth 2 on the gear wheel 1 will be fixed like a beam between the two strengthening rings 3 and 4, and the rings 3, 4 will counteract motions of each individual tooth 2 in the direction of circumference when the teeth are subjected to forces in interaction with another set of teeth on a gear wheel or a toothed rack (not shown).

As shown in Fig. 4, a clearance 6, 7 is provided between the tooth crest and the tooth base on/in the gear wheel and ring. This ensures a best possible flank contact between the teeth 2 and 5 as well as a reduction in the stress of radial forces, see also Figs. 5 and 6. In Fig. 6 there is a clearance 8 only between ring-tooth crest and ring-tooth base.

In order to achieve the best possible effect, each individual strengthening ring 3, 4 is fitted on/around the gear wheel 1 by producing/utilising a tensile force within 80% of the permanent elongation limit of the material (steel). This is achieved by suitable sizing of each individual ring prior to fitting.

It is particularly expedient if, in this connection, it is possible to envisage the toothed rim stretched out to its correspondingly larger circle, shrink fits for this circle being selected in accordance with the ISO tables of limits and fits. Similar considerations are made for the strengthening rings.

The invention permits a reduction in the danger of fatigue fractures without the need to increase the size, and consequently the material consumption.

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AMENDED CLAIMS

1.

A method for strengthening a gear wheel (1), wherein strengthening rings (3,4) are placed around the gear wheel and connected to the gear wheel teeth (2), **characterised** in that each tooth (2) is fixed like a theoretical beam between two extreme points in that two strengthening wheels (3, 4), each shaped on its inside in conformity with the gear wheel teeth (2), are shrink-fitted around the gear wheel.

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A method according to claim 1, **characterised in** that the strengthening rings (3, 4) are shrink-fitted around the gear wheel (1) in such manner that the strengthening rings (3, 4) will be firmly shrunk onto the gear wheel (1) with a material-technical tensile/compressive strength within 80% of the 0.2% elastic elongation range of the material (steel).

3.

A method according to claim 2, characterised in that during the sizing process the toothed rim of the driving gear (1) is envisaged stretched out to a correspondingly larger circle, shrink fits being selected for this circle in accordance with the ISO tables of limits and fits, and that similar considerations are made for each strengthening ring (3, 4).

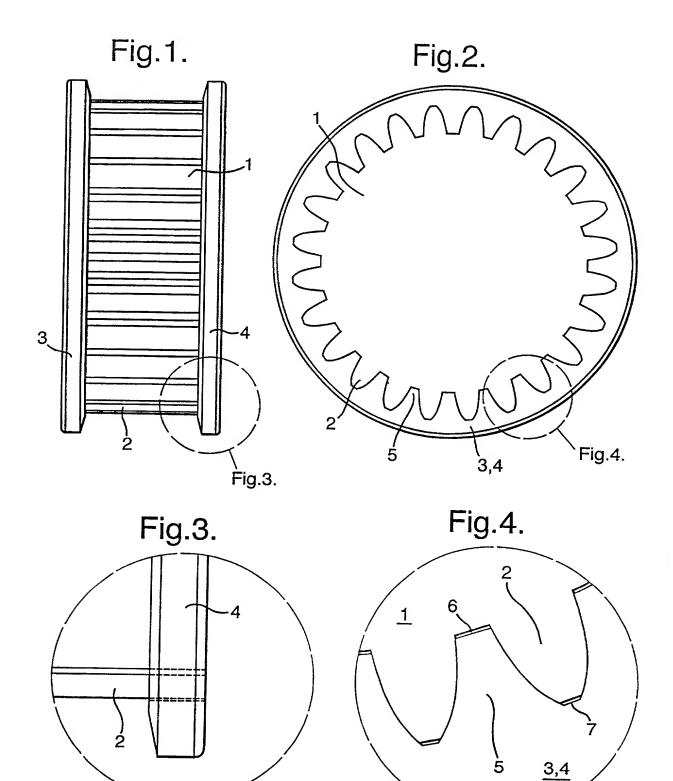
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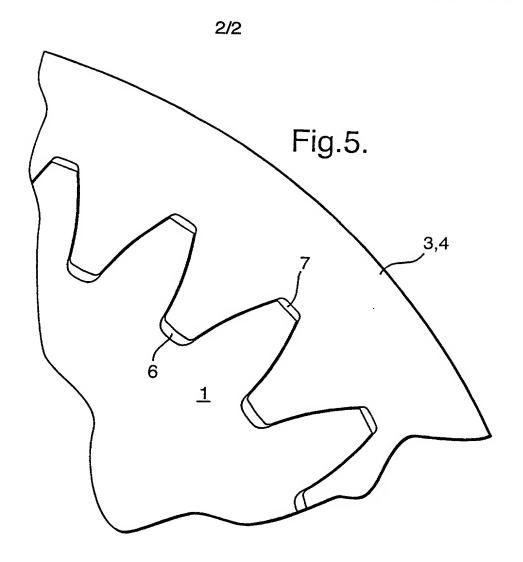
A gear wheel (1) having surrounding strengthening rings (3,4) connected to the gear wheel teeth (2), **characterised in** that each tooth (2) is fixed like a theoretical beam between two extreme points in that two strengthening rings (3, 4), shaped on their insides in conformity with the gear wheel teeth (2), are shrink-fitted around the gear wheel.

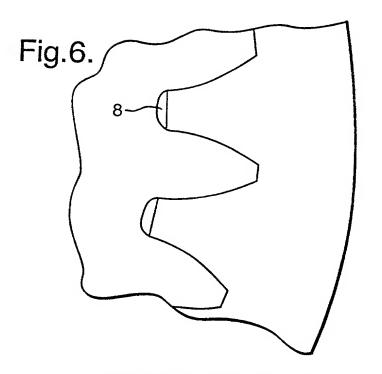
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A gear wheel according to claim 4, characterised in that the strengthening rings (3, 4) are shrink-fitted in such manner that the strengthening rings (3, 4) will be firmly shrunk onto the gear wheel (1) with a material-technical tensile/compressive strength within 80% of the 0.2% elastic elongation range of the material (steel).







SUBSTITUTE SHEET (RULE 26)

	FOR PATENT APPLICATION AND sional and PCT International Appli		Attorney's Docket No.
I believe I am the original, fi (if plural names are listed be entitled:	dress and citizenship are as stated rst and sole inventor (if only one low) of the subject matter which i	name is listed below) or an ori s claimed and for which a pate	ginal, first and joint inventor nt is sought on the invention
METHOD FOR ST	RENGTHENING A GEAR WHEE	L; AND A GEAR WHEEL	
the specification of	which (check only one item below	·):	
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on A	ded usgust 1, 2001	(if applicable).	
amended by any amendment I acknowledge the duty to di	sclose to the Office all information	-	
patent or inventor's certifica United States of America list certificate or any PCT intern	ty benefits under Title 35, United to or of any PCT international appeted below and have also identified ational application(s) designating ect matter having a filing date beto	blication(s) designating at least below any foreign application at least one country other than	one country other than the (s) for patent or inventor's the United States of America
	PLICATION(S) AND ANY PRIO		
COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. §119
NORWAY -	19993835 ~	10.08.99 /	x Yes No
			_ Yes _ No
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I hereby claim the benefit unbelow.	der Title 35, United States Code	§ 119(e) of any United States p	provisional application(s) listed
(Application	Number)	(Filing Date)	
(Application	Number)	(Filing Date)	

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose to the Office all information known to me to be material to the patentability as defined in Title 37, Code of Federal Regulations §1.56, which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. §120:

	U.S. APPLICATIONS		ST	ATUS (check	one)
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I hereby appoint the following attorneys and agent(s) to prosecute said application and to transact all business in the Patent and Trademark Office connected therewith and to file, prosecute and to transact all business in connection with international applications directed to said invention:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(Includes Reference to Provisional and PCT Internationa	- Applications,		
FULL NAME OF SOLE OR FIRST INVENTOR	SIGNATURE		DATE
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